



Quality of life after preoperative home-based exercise training in patients with lung cancer: a secondary analysis

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Background: A preoperative home-based exercise training (PHET) program appeared to improve health-related quality of life (HRQoL) in patients undergoing lung cancer surgery. However, inter-individual variability in response was observed. This secondary analysis aimed to identify characteristics of responders/non-responders to PHET, determine predictors of response, and examine whether preoperative HRQoL response to PHET is associated with postoperative HRQoL maintenance.

Methods: This was a secondary analysis of pooled data from a feasibility study and a randomized controlled trial, including sociodemographic/clinical data, physical performance and HRQoL (EORTC-QLQ-C30). Responders/non-responders were classified based on a change of ≥ 5 points in Global QoL score (EORTC QLQ-C30) from baseline to pre-surgery time point. Between-group differences and predictors of PHET response (logistic regression) were analysed.

Results: 32 patients (63% male, 67.1 ± 7.8 years) were included, from which 56% ($n=18$) were responders to PHET in Global QoL. Responders had lower baseline scores in Global QoL, Emotional Function and Summary Score compared to non-responders ($P < 0.05$), and 50% of responders had chronic obstructive pulmonary disease (COPD) ($P = 0.02$). No other between-group differences were found ($P > 0.05$). In the regression model including the Summary Score (EORTC-QLQ-C30) and COPD (Nagelkerke $R^2 = 0.511$), COPD was a significant predictor of response: COPD patients were 13.4 times more likely to be responders (odds ratio = 13.4; 95% confidence interval: 1.4; 132.2). 65% of PHET-responders maintained/improved HRQoL 1-month post-surgery.

Conclusions: Most patients awaiting lung cancer surgery responded in HRQoL to a PHET, however, a considerable proportion did not. Further research is needed to identify characteristics of non-responders and optimize prehabilitation strategies accordingly.

Keywords: Prehabilitation; health-related quality of life (HRQoL); lung cancer

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Introduction

Lung cancer is the ninth leading cause of death (1), and remains one of the leading causes of cancer-related morbidity and mortality worldwide (2-4). Surgical resection of the tumour is the cornerstone of curative treatment for patients with early-stage lung cancer (5). Although it offers the best survival outcomes for these patients, with five-year survival rates for people with localized disease being approximately 60% (6,7), surgery leads to short- and long-term impairments on health-related quality of life (HRQoL) (8).

Patients awaiting lung cancer resection typically have poorer HRQoL than the general population (9). Surgical resection further impairs HRQoL, with many patients experiencing pain, fatigue, dyspnoea and a decline in physical and role functioning during the first postoperative

month (8,10,11). Moreover, lower preoperative HRQoL has been associated with a higher risk of postoperative cardiopulmonary complications and longer hospital stays (12,13). The long-term negative impact of surgery on HRQoL is also a concern for patients, particularly regarding postoperative physical function, fatigue, and pain (14). Optimizing preoperative care to improve HRQoL in patients with lung cancer is, therefore, of clinical importance.

Current clinical guidelines strongly recommend the inclusion of exercise training in cancer care, based on moderate- to high-quality evidence from multiple randomized trials across various cancer types (15). Such exercise programs have been shown to improve depressive symptoms, anxiety, fatigue, HRQoL, and self-reported physical function (16,17). Preoperative exercise training has emerged as a promising intervention to improve clinical outcomes of cancer patients undergoing major surgery, including those with lung cancer (17-21), although evidence is still limited (16). From the existing interventions, home-based exercise programs represent a more accessible intervention than center-based programs, as they can minimize adherence problems to prehabilitation such as transportation issues, which are one of the main barriers encountered by patients (22-24). In two previous studies (25,26), a preoperative home-based exercise training (PHET) program was implemented in patients awaiting lung cancer surgery. This intervention was considered safe, feasible, well accepted (26), and it was found to be clinically effective in improving preoperative HRQoL and preventing its deterioration after surgery (25). Despite these beneficial effects, inter-individual variability in response to PHET was observed (25,26), which may be related to patients' characteristics and/or clinical status before surgery. In previous clinical trials involving breast and prostate cancer patients, it was found that baseline demographic, clinical and psychosocial variables explained the heterogeneous effects of exercise training on HRQoL (27,28). Though, this topic has not yet been explored among patients with lung cancer.

The main aim of this study was to investigate whether baseline characteristics of patients with lung cancer undergoing PHET can account for the variability of the response in preoperative HRQoL (i.e., "responders" and

Highlight box

Key findings

- A preoperative home-based exercise appeared to improve health-related quality of life (HRQoL) in patients awaiting lung cancer surgery, with chronic obstructive pulmonary disease (COPD) potentially influencing response. However, some patients did not respond, highlighting the need for individualized prehabilitation approaches.

What is known and what is new?

- In a previous randomized controlled trial, a preoperative home-based exercise training (PHET) improved HRQoL in patients undergoing lung cancer surgery. However, individual variability in response to such intervention remains poorly understood.
- This study suggested that most patients awaiting lung cancer surgery experience meaningful improvements in HRQoL, particularly those with lower baseline Emotional Function and Global QoL scores. While comorbidities such as COPD appeared to be associated to a greater likelihood of response, further research is needed to clarify its role. Additionally, the study suggested a tendency for most responders before surgery to sustain or further enhance their HRQoL one month postoperatively.

What is the implication, and what should change now?

- These findings highlight the importance of individualizing prehabilitation strategies for patients with lung cancer. Future research should focus on larger samples to better characterize responders and non-responders and refine multicomponent prehabilitation approaches tailored to patient profiles.

Table 1 PHET program description

PHET component	Description
Educational session (first day of the PHET program)	Themes Importance of exercise training before lung cancer surgery Demonstration of the home-based exercise program Instruction on training intensity monitoring using the Borg Category Ratio (Borg CR-10)
Home-based exercise program (5 days/week, 3.6±0.2 weeks)	Aerobic training: F: 3× week I: RPE of 3–5 (moderate to strong) T: walking T/V: 30 min/session P: 40 min/session (2 nd week) Resistance training: F: 2× week I: RPE of 3–5 (moderate to strong) T: sit-to-stand; calf raise; step up; glute bridge; biceps curl; shoulder press T/V: 2 sets 15 repetitions P: 3 sets 15 repetitions (2 nd week)
Telephone supervision (weekly basis)	Monitor exercise adherence Identify adverse events Overcome exercise barriers Adjust planned exercise program

Parameters of the training components: F, frequency; I, intensity; T, type; T/V, time/volume; P, progression. PHET, preoperative home-based exercise training; RPE, rating of perceived exertion.

“non-responders”) and predict a clinically meaningful response to PHET. A secondary goal was to determine whether the response to PHET (i.e., ‘responders’ and ‘non-responders’) is associated with clinically relevant changes (i.e., ‘deterioration’ and ‘non-deterioration’) in HRQoL following lung cancer surgery. We present this article in accordance with the STROBE reporting checklist (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-2025-1444/rc>).

Methods

Study design and setting

This study is an exploratory secondary analysis of pooled data from a single-arm feasibility study (Clinicaltrials.gov NCT05473052) (26) and from the experimental group of

a randomized efficacy controlled trial (RCT, Clinicaltrials.gov NCT05469425) (25). Because this is a secondary analysis of these two original studies, no a priori sample size calculation was performed. These studies were part of a larger project (OncoEnergy) that aimed to explore the feasibility, safety and effectiveness of a PHET program on HRQoL in patients undergoing lung cancer resection.

The PHET program comprised three components, which are presented in *Table 1* and described in detail in the main studies (25,26). There were no modifications to the program from the feasibility trial to the RCT, thus patients in both studies received the same exercise program. It consisted of an initial educational session where patients were advised on the importance of exercise before surgery and where the exercise program was explained and exemplified. The exercise program consisted of 3 weekly

sessions of aerobic training (walking) and 2 weekly sessions of resistance training, on alternate days. During the PHET, patients received support on a weekly basis by telephone calls. The duration of the PHET was adjusted based on the waiting times for surgery and patients were encouraged to complete the program until the date of the surgery. The PHET program was previously tested for feasibility, acceptability, and safety in a feasibility study (26) and for its effectiveness in a multicentre RCT (25).

Regarding adherence to the PHET, in the feasibility trial the median [Q1–Q3] adherence rate was 100% [89–100%] for the aerobic training and 100% [100–117%] for the resistance training (26); in the RCT, the mean ($\pm$ standard deviation) adherence rate was 103% $\pm$ 19.8% for aerobic training and 92.1% $\pm$ 33.1% for resistance training (25).

This is a secondary analysis of data from two previous studies that were conducted in accordance with the Declaration of Helsinki and its subsequent amendments. Ethical approval was previously obtained from the Ethics Committees of the health units where patients were recruited—the Leiria Hospital Center (n.10-14/12/2021), District Hospital of Santarém (no ref; 22/04/2022), District Hospital of Figueira da Foz (Obs_CIC.12 / 2022) and Portuguese Oncology Institute of Coimbra (TI 36/2021, 18/11/2021). Data were collected between February 2022 and May 2023, at four health units in the center region of Portugal. These trials were reported according to the Consolidated Standards of Reporting Trials (CONSORT) guidelines (29).

Participants

This secondary analysis used data from participants included in the feasibility study (ClinicalTrials.gov NCT05473052) (26) and the randomized controlled trial (experimental group) (ClinicalTrials.gov NCT05469425) (25), following the same eligibility criteria. Participant flow details are available in the primary study reports. Consecutive adult patients (≥ 18 years), candidates to surgery for confirmed or suspected lung malignancy (clinical stage IIIA or less), who had medical approval to exercise, and surgery scheduled for at least two weeks from study enrolment were considered for inclusion. Exclusion criteria were: (I) metastatic tumour (II) contraindications for exercise training or physical testing (III) inability to speak/understand Portuguese (IV) being already involved in regular exercise training (aerobic and resistance training over the past month for ≥ 2 days/week, ≥ 30 minutes/session). Participants were identified, screened

for eligibility and subsequently invited to participate by their medical staff during routine appointments of Pulmonology or Thoracic Surgery. Patients who expressed interest in participating were contacted by the research team and provided with both oral and written information about the study. Those who agreed to take part gave written informed consent before any study-related assessments were conducted.

Data collection

In the feasibility study, the outcome assessor was the same physiotherapist who delivered the intervention. In the RCT, assessments were performed by a physiotherapist who was independent of the intervention delivery and blinded to group allocation at both baseline and post-intervention time points. There were 3 timepoints, at baseline (T0), after the PHET (1–5 days prior to surgery—T1), and 4–5 weeks after surgery (T2). Sociodemographic (age, sex, education) and anthropometric data (height, weight) were collected using a structured questionnaire. Clinical data (smoking status, lung function, cancer diagnosis, histological subtype, tumour stage) were collected from the electronic medical records. Comorbidities were assessed and scored according to the Charlson Comorbidity Index (CCI) which classifies comorbidities as mild (CCI scores of 1–2), moderate (CCI scores of 3–4) or severe (CCI scores ≥ 5) (30). Information on chronic obstructive pulmonary disease (COPD) as a comorbidity was obtained from medical records, with the diagnosis confirmed by spirometry. These data were only collected at T0 for sample characterization. HRQoL was assessed using the Portuguese version (31) of the European Organization for Research and Treatment of Cancer Quality of Life Questionnaire C30 (EORTC-QLQ-C30) version 3.0 (32), following the recommendations of the international consensus on patient-centred outcomes for lung cancer (33). The QLQ-C30 includes a global QoL scale, five functioning scales, three multi-item symptom scales, six single-item symptom scales, and a financial impact scale (34). Scores range from 0 to 100. For the global QoL and functioning scales, higher scores represent better QoL and higher levels of functioning, whereas higher scores on the symptom scales indicate greater symptom burden. In the present study, the Global QoL scale of the EORTC QLQ-C30 was selected as the primary outcome for HRQoL as previously recommended (34). In addition, in accordance with the recommendations of the EORTC Quality of Life Group, the QLQ-C30 Summary Score

(SumSc) was used to complement the 15-outcome profile provided by the QLQ-C30 (35). HRQOL was collected at the three time points (T0-T2). Physical performance tests were conducted to assess exercise capacity, strength and lower limb function. Exercise capacity was evaluated using the incremental shuttle walk test (ISWT) according to the protocol described by Singh *et al.* (36). Handgrip strength was measured with a Jamar Plus+ Dynamometer (Performance Health, Nottinghamshire, UK) following the standardized procedure recommended by the American Society of Hand Therapists (37). Lower limb functional performance was also assessed using the Five-Times Sit-to-Stand Test (5STS). For this test, participants were asked to stand up and sit down five times as quickly as possible from a standardized armless chair (0.41–0.45 m in height) without using their hands for assistance. The test was completed after the fifth repetition, and the time needed to perform the test was recorded using a stopwatch to the nearest 0.01 s (38).

Statistical analysis

Analyses were performed using SPSS Statistics (v29, IBM). Plots were created using GraphPad Prism (v7, GraphPad Software). Descriptive statistics were computed to characterize the sample. Data were presented as frequencies for categorical and ordinal variables; mean $\pm$ standard deviation (SD) or median [interquartile range (IQR)], for continuous variables, depending on data distribution.

Participants were classified as responders or non-responders based on within-subject change of ≥ 5 points on the Global QoL scale of the EORTC-QLQ-C30 from baseline to T1 (post-PHET, pre-surgery), corresponding to the minimal important difference (MID) (39). Baseline characteristics of the two independent groups (responders versus non-responders) were compared using independent samples *t*-tests, Mann-Whitney U tests, or Chi-squared/Fisher exact tests depending on data type and distribution. A statistical significance level of 0.05 was used.

To identify potential predictors of response in T1, univariate regression models between each independent variable from baseline assessment (sociodemographic and clinical variables, physical performance tests—ISWT, 5STS, handgrip strength; HRQoL EORTC QLQ-C30 subscales) and the dichotomized variable of the difference T1-T0 (i.e., ‘responders’ *vs.* ‘non-responders’) in Global QoL scale of the EORTC-QLQ-C30 (dependent variable) were computed. Predictor variables presenting a significant univariate association ($P < 0.10$) (40) were examined in a

binary logistic regression using the backward stepwise method to identify the main predictors. The logistic regression assumptions were verified (41,42).

Chi-square tests were performed to determine whether the response to PHET was associated with clinically relevant changes in HRQoL (‘deterioration’ *vs.* ‘non-deterioration’) following lung cancer surgery (T2). ‘Deterioration’ *vs.* ‘non-deterioration’ consists of the dichotomization of the difference between Global QoL in T2 and T0 using the MID for deterioration in Global QoL scale (-5 points) (39).

Results

Sample characteristics

Thirty-two participants were included in the analysis. Flowchart is presented in *Figure 1*. There were no missing data, all patients were assessed at all time points. Most participants were male (62.5%), had a mean age of 67.1 ± 7.8 years, had a mean FEV₁ (%predicted) of 82.3 ± 18.9 L/min, 46.9% were former smokers and 31.3% had COPD (from medical health records). Regarding diagnosis and surgery type, 75% had a diagnosis of adenocarcinoma and underwent lobectomy (84.4%) via video-assisted thoracoscopic surgery (65.6%). The median [Q1–Q3] length of hospital stay after surgery was 5 [2.25–9.5] days. Regarding HRQoL, the median [Q1–Q3] Global QoL score was 62.3 [50–83], while the Summary Score was 90.1 [82.3–93.0]. Among the functioning scales, Emotional Function scored the lowest {75 [60.2–83.3]}, followed by Physical Function {90 [80–93]}. On the symptom scales, Insomnia had the highest score {33.3 [0–33.3]}, followed by Fatigue {11 [0–30.3]}. Sociodemographic and clinical characteristics of participants are presented in *Table 2*.

Response to PHET

After PHET, 56.3% ($n=18$) of patients were responders to PHET in Global QoL. Baseline characteristics of responders and non-responders are presented in *Table 2*. The responders’ group had a significantly higher proportion of patients with COPD compared to the non-responders’ group (50% *vs.* 7.1%, respectively; $P=0.02$). The responders’ group had a significantly longer hospital stay than non-responders {5 [4–11.25] *vs.* 3 [2–5.5] days, $P=0.045$ }. There were no other significant differences regarding sociodemographic and clinical variables,

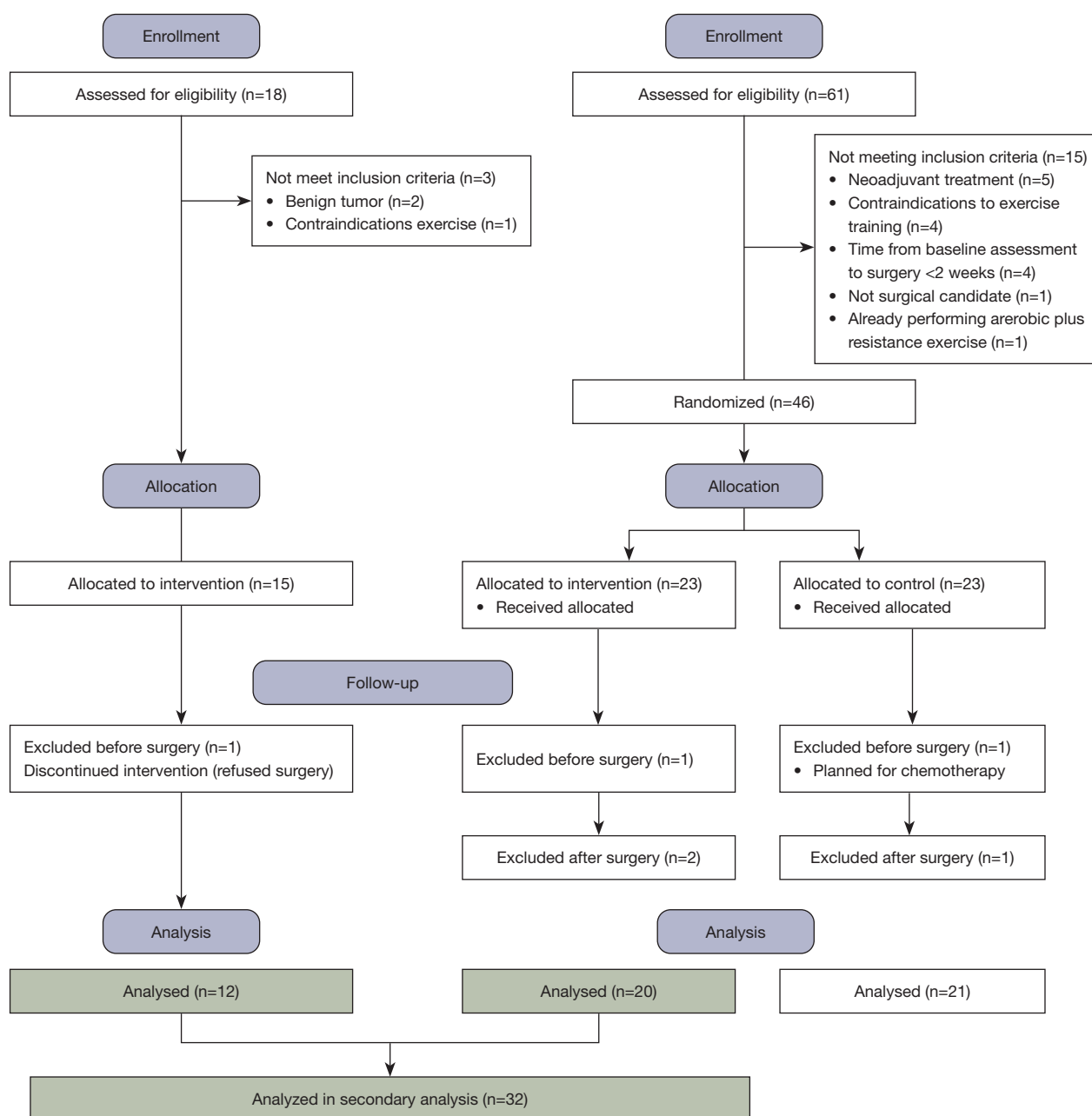


Figure 1 Adapted CONSORT flow diagram identifying the participants included in this secondary analysis of the feasibility study and the randomized controlled study. CONSORT, Consolidated Standards of Reporting Trials.

including pulmonary function, type of surgery, type of cancer and results from the physical performance tests ($P>0.05$, *Tables 2,3*).

Differences were found in EORTC-QLQ-C30 subscales (*Table 3*), with responders presenting significantly lower scores in Global QoL [50 [42–60.3] *vs.* 83 [72.9–

83.1]], Emotional Function [67 [48–83] *vs.* 83 [75–94]] and Summary Score [87.5 [79.8–91.3] *vs.* 92.5 [85.3–96.5]] when compared to non-responders. Mean differences between groups are provided in *Table 3*. There were no other significant differences in EORTC-QLQ-C30 subscales ($P>0.05$).

Table 2 Sociodemographic, clinical characteristics and differences between responders (n=18) and non-responders (n=14)

Variable	All participants (n=32)	Responders (n=18)	Non-Responders (n=14)	Between-group difference [†]	P value
Age (years)	67.1±7.8	65.2±8.1	69.5±6.9	4.33 [−1.19, 9.86]	0.12
BMI (kg/m ²)	25.8 [23.5–28.4]	25.7 [22.7–28.8]	26.3 [25–29.9]	−1.045	0.30
Sex (male)	20 (62.5)	10 (55.6)	10 (71.4)	–	0.16
Educational level				–	0.08
<10 years	21 (65.6)	11 (61.1)	10 (71.4)		
≥10 years	11 (34.4)	7 (38.9)	4 (28.6)		
Smoking status				–	0.15
Current	6 (18.8)	2 (11.1)	4 (28.6)		
Former	15 (46.9)	9 (50)	6 (42.9)		
Never	11 (34.4)	7 (38.9)	4 (28.6)		
Comorbidities				–	
Hypertension	16 (50.0)	9 (50.0)	7 (50.0)		>0.99
COPD	10 (31.3)	9 (50.0)	1 (7.1)		0.02*
Diabetes	4 (12.5)	2 (11.1)	2 (14.3)		>0.99
History of myocardial infarction	4 (12.5)	2 (11.1)	2 (14.3)		>0.99
Previous cancer	7 (21.9)	3 (16.7)	4 (28.6)		0.67
Other	7(21.9)	5 (27.8)	2 (14.3)		0.43
Mean CCI	4.5±1.5	4.6±1.4	4.3±1.7	–	–
CCI score				–	0.24
Mild comorbidities (CCI score 1 or 2)	4 (12.5)	1 (5.55)	3 (21.43)		
Moderate comorbidities (CCI score 3 or 4)	11 (34.34)	8 (44.44)	3 (21.43)		
Severe comorbidities (CCI score ≥5)	17 (53.13)	9 (50)	8 (57.14)		
Pulmonary function					
FVC (% predicted)	94±11.8	91.8±11.7	96.7±11.8	4.89 [−4.12, 13.91]	0.28
FEV ₁ (% predicted)	82.3±18.9	76.8±20.9	89.4±13.7	12.55 [−1.14, 26.3]	0.07
DLCO (% predicted)	69.6±18.4	68.5±17.2	71.3±20.7	2.81 [−11.68, 17.30]	0.70
Type of lung cancer				–	0.14
NSCLC	27 (84.4)	17 (94.4)	10 (71.4)		
Neuroendocrine	5 (15.6)	1 (5.6)	4 (28.6)		
Histological subtype				–	0.06
Adenocarcinoma	24 (75)	15 (83.3)	9 (64.3)		
Squamous cell carcinoma	2 (6.3)	2 (11.1)	–		
Typical carcinoid	3 (9.4)	–	3 (21.4)		
Atypical carcinoid	3 (9.4)	1 (5.6)	2 (14.3)		

Table 2 (continued)

Table 2 (continued)

Variable	All participants (n=32)	Responders (n=18)	Non-Responders (n=14)	Between-group difference [†]	P value
Resection degree				–	0.20
Lobectomy	27 (84.4)	14 (77.8)	13 (92.9)		
Bi-lobectomy	1 (3.1)	1 (5.6)	–		
Segmentectomy	1 (3.1)	–	1 (7.1)		
Wedge resection	3 (9.4)	3 (16.7)	–		
Surgical approach				–	0.45
VATS	21 (65.6)	13 (72.2)	8 (57.1)		
Open thoracotomy	10 (31.3)	4 (22.2)	6 (42.9)		
RATS	1 (3.1)	1 (5.6)	–		
Length of hospital stay (days)	5 [2.25–9.5]	5 [4–11.25]	3 [2–5.5]	–1.962	0.045*

Data are presented as mean $\pm$ SD, n (%) or median [Q1–Q3]. *, significant at $P < 0.05$. [†], for normal distribution variables, between-group difference was presented as mean difference (95% CI); for non-normal distribution variables, Z value of Mann-Whitney test was listed in the column. BMI, body mass index; CCI, Charlson Comorbidity Index; COPD, chronic obstructive pulmonary disease; DLCO, diffusion lung capacity for carbon monoxide; FEV1, forced expiratory volume in 1 s; FVC, forced vital capacity; NSCLC, non-small cell lung cancer; RATS, robot-assisted thoracoscopic surgery; VATS, video-assisted thoracoscopic surgery.

Predictors of response

The presence of COPD ($P=0.009$) and the variables FEV1 ($P=0.07$), Emotional Function ($P=0.04$) and SumSc ($P=0.03$) were significantly associated with being a responder to PHET in Global QoL, in the univariate analysis. Since multicollinearity was found between COPD and FEV1 and between Emotional Function and SumSc, the independent variables included in the model were SumSc and COPD.

The logistic regression model containing SumSc and COPD as independent variables was statistically significant [$\chi^2(4) = 12.1$, $P < 0.05$]. The model explained 42.1% (Nagelkerke R^2) of the variability in patients' response to PHET in Global QoL and correctly classified 72% of the cases. In this model, COPD was the only significant predictor of being a responder to PHET ($P=0.03$). Patients with COPD were 13.4 times more likely to be a responder to PHET in preoperative Global QoL (OR =13.4; 95% CI: 1.4, 132.2).

Changes in global QoL after surgery

One month after surgery (T2), 62.5% ($n=20$) of patients maintained or improved Global QoL in relation to baseline (i.e., 'non-deterioration'), while 37.5% of patients ($n=12$)

experienced a deterioration in this outcome. There was no significant association between the response to PHET (i.e., 'responders' and 'non-responders') in T1 and Global QoL change in T2 ('deterioration' vs. 'non-deterioration') ($P=0.20$). However, 65% ($n=12$) of patients who responded to the PHET program (i.e., T1-T0) maintained or improved their Global QoL scores 1-month after surgery, considering their baseline scores as the reference point (i.e., T2-T0) (Figure 2; green area). Similarly, 58.3% ($n=7$) of patients who experienced a deterioration in HRQoL after surgery (i.e., T2-T0) did not respond to PHET in T1 (Figure 2; red area).

Discussion

This study is the first to identify "responders", "non-responders", and predictors of response to a PHET program in HRQoL among patients with lung cancer awaiting surgery. Despite most patients responded to the PHET in Global QoL, a substantial proportion did not achieve clinically meaningful improvements. Responders had worse baseline scores in Emotional Function, Global QoL, and Summary Score, as well as a higher prevalence of COPD, although only having COPD significantly predicted response to PHET in Global QoL. This study

Table 3 Physical performance tests, EORTC-QLQ-C30 scale scores and differences between responders and non-responders

Variables	All participants (n=32)	Responders (n=18)	Non-responders (n=14)	Between-group difference [†]	P value
Physical performance tests					
ISWT (m)	459.7±184.9	480.8±229.4	432.5±106.2	-48.33 [-183.94, 87.27]	0.47
5TST (s)	8.9±3.1	8.5±3.3	9.4±3.0	0.94 [-1.36, 3.24]	0.41
Handgrip strength (kg)					
Left hand	31.6±9.6	30.7±9.4	32.7±10.2	2.02 [-5.06, 9.09]	0.57
Right hand	32.7±8.8	32.3±8.9	33.1±9.0	0.86 [-5.64, 7.37]	0.79
EORTC-QLQ-C30 scale					
Global QoL	62.3 [50–83]	50 [42–60.3]	83 [72.9–83.1]	-3.05	0.002*
Physical function	90 [80–93]	87 [78.3–100]	93 [80–93]	-0.193	0.87
Emotional function	75 [60.2–83.3]	67 [48–83]	83 [75–94]	-2.07	0.004*
Role function	100 [83–100]	91.67 [79–100]	100 [100–100]	-1.78	0.14
Cognitive function	100 [83–100]	100 [83–100]	91.67 [79–100]	-0.39	0.72
Social function	100 [83–100]	100 [67–100]	100 [95.8–100]		0.24
Dyspnoea	0 [0–24.8]	0 [0–33]	0 [0–0]	-1.23	0.36
Fatigue	11 [0–30.3]	16.50 [0–24.9]	5.50 [0–33]	-0.57	0.59
Pain	0 [0–17]	0 [0–17]	8.50 [0–17]	-0.189	0.87
Nausea and vomiting	0 [0–0]	0 [0–0]	0 [0–0]	-0.86	0.81
Insomnia	33.3 [0–33.3]	33.3 [0–75.3]	0 [0–33]	-1.98	0.06
Appetite loss	0 [0–0]	0 [0–0]	0 [0–0]	1.27	0.61
Constipation	0 [0–0]	0 [0–0]	0 [0–8.3]	-0.14	0.93
Diarrhoea	0 [0–0]	0 [0–0]	0 [0–0]	-0.18	0.96
Financial impact	0 [0–33]	0 [0–33]	0 [0–33]	-0.17	0.90
Summary score	90.1 [82.3–93.0]	87.5 [79.8–91.3]	92.5 [85.3–96.5]	-2.19	0.03*

Data are presented as mean ± SD or median [Q1–Q3]. *, significant at P<0.05. †, for normal distribution variables, between-group difference was presented as mean difference (95% CI); for non-normal distribution variables, Z value of Mann-Whitney test was listed in the column. 5TST, 5 times sit-to-stand test; ISWT, Incremental Shuttle Walking Test; QoL, quality of life; SD, standard deviation.

also suggested that most patients who had a clinically meaningful improvement in Global QoL before surgery at least maintained their baseline Global QoL scores 1-month after surgery.

The observed heterogeneity in response highlights the importance of analysing responders versus non-responders, rather than relying solely on mean differences. Patients with lower baseline HRQoL scores (“more room for improvement”) exhibited greater gains, whereas those with higher baseline scores were limited by potential ceiling effects, which could also reflect regression to the mean. This

distinction emphasises the need to move beyond average effects and to tailor interventions according to individual patient profiles defined based on clinical meaningful variables.

This variability in response to a pre-operative exercise training is consistent with previous literature. Huang *et al.* [2016] (43) reported that half of their study participants were responders in exercise capacity to an exercise prehabilitation program (home-, community-, or hospital-based) including aerobic and resistance training. Home-based delivery has been shown to improve accessibility

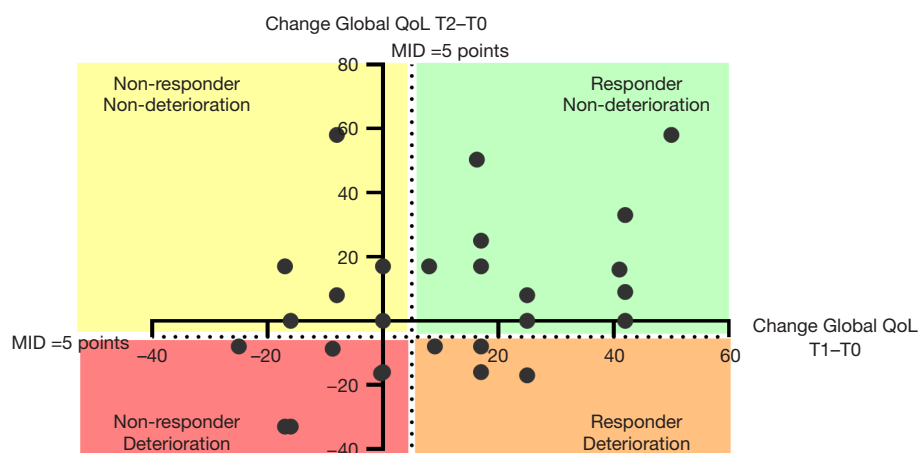


Figure 2 Scatter plot with the distribution of responders *vs.* non-responders in Global QoL scale to the PHET program (T1-T0), and patients who deteriorated *vs.* non-deteriorated in Global QoL scale score 1 month after surgery (T2-T0). X-axis: difference between T1 and T0 in the Global QoL scale scores; Y-axis: difference between T2 and T0 in the Global QoL scale scores. Dotted vertical line represents the minimal important difference for improvement (MID 5 points) of the Global QoL scale at T1. Dotted horizontal line represents MID for deterioration (-5 points) of the Global QoL scale at T2. Green shadow represents “responders” in T1 who maintained or improved Global QoL score in T2 compared to T0. Red shadow represents “non-responders” who deteriorated. Orange represents “responders” in T1 who deteriorated in T2. Yellow shadow represents “non-responders” who did not deteriorate at T2. MID, minimal important difference; PHET, preoperative home-based exercise training; QoL, quality of life.

(23,24), feasibility, and adherence (25,26), yet a considerable proportion of patients may still fail to achieve meaningful improvements in HRQoL.

No statistically significant differences were observed regarding surgical approach or extent of resection. However, a higher proportion of lobectomies and thoracotomies were performed in the non-responder group, while wedge resections and VATS procedures were more common among responders. These differences, although exploratory, could have influenced HRQoL outcomes. Less invasive surgical techniques have been associated with faster recovery and better postoperative quality of life in lung cancer patients (44). Future studies with larger samples are warranted to explore this potential interaction more thoroughly.

The between-group difference in ISWT distance did not reach statistical significance, but the mean change of approximately 50 m is close to the minimal clinically important difference (MCID) of 48 m reported by Parreira *et al.* [2014] (45) for patients with COPD. While this threshold has not been validated for individuals with lung cancer and, therefore, should be interpreted with caution, it provides a useful reference point suggesting that the magnitude of change observed may be clinically meaningful. This potential improvement in functional exercise capacity

could partly explain the perceived gains in HRQoL among responders and underscores the relevance of exploring the interaction between physical function and QoL outcomes in future studies with larger samples.

COPD appeared to be a significant predictor of response in Global QoL. Among the 10 patients with COPD, 9 were classified as responders. Patients with COPD often experience ventilatory limitations, symptom burden, and functional impairment, factors that could potentially explain a positive response to moderate intensity exercise training (46) like the PHET. However, in our sample there were no significant between-group differences in baseline physical function (both self-reported and objectively measured) or symptom burden. A previous large-scale study (47) has shown that lung cancer patients with underlying COPD, particularly those with a prior diagnosis, exhibit a significantly greater overall symptom burden, including more frequent and severe physical and psychological symptoms, compared to those without COPD. These patients may therefore have a greater need of, and potentially greater responsiveness to, moderate intensity exercise interventions, even if baseline physical function appears comparable. However, the small sample size of our study, particularly the limited number of patients with COPD, warrants caution when interpreting these

findings. The possibility of random variation influencing results cannot be excluded, and further research in larger, more diverse samples is needed to confirm whether COPD consistently predicts better response to a moderate intensity pre-operative exercise training.

An important consideration is the dose of the exercise intervention. Although the PHET followed standard exercise guidelines (15,16), some patients may require higher or more individualized training stimuli to achieve meaningful changes in QoL. This is a well-established research priority in prehabilitation, including the need to identify the optimal exercise modality, intensity, and volume, as current approaches are not yet effective for specific patient subgroups (48). Moreover, the 'standard' exercise guidelines applied in this study were derived from broader oncology and thoracic surgery populations rather than exclusively from preoperative lung cancer cohorts, which underscores the need to adapt and individualize exercise prescriptions to the specific characteristics and comorbidities of this group.

Patients who do not experience clinically meaningful improvements in Global QoL with the PHET may benefit from a more comprehensive, multimodal prehabilitation approach. Incorporating additional components such as symptom management, nutritional counselling, or social support may help address the potential unmet needs of non-responders (49). Further research is also needed to better characterize the non-responders' profile considering demographic factors such as age, weight, sex, preoperative fitness, and frailty in larger samples, and identify patterns of HRQoL impairment, including specific domains that contribute most to the overall QoL impairment (48). This will potentially enable a more effective tailoring of prehabilitation components to individual patient needs. Future research should move beyond standardised, fixed-dose exercise prescriptions and explore individualised or stratified prehabilitation models, incorporating decision algorithms or risk stratification tools. To evaluate personalised approaches, adaptive trial designs (e.g., response-adaptive randomisation, stratified RCTs) could be implemented, allowing exercise intensity, modality or volume to be adjusted based on baseline patient characteristics.

A limitation of this study is the absence of a sample size calculation. However, as this was an exploratory secondary analysis of pooled data from a feasibility study and the intervention arm of an RCT using the same intervention, a formal sample size calculation was not feasible. Future studies with larger samples are needed to confirm these

findings and to explore the underlying factors associated with response to PHET. The evidence in the field of prehabilitation for lung cancer resection is still limited, although research on this topic is increasing. Most of the existing literature is from small, underpowered trials, implementing heterogeneous protocols and interventions, and there is still a lack of studies exploring predictors of response to exercise-based prehabilitation interventions (48). However, there is limited and weak evidence on this association of (objectively measured) baseline physical activity levels and QoL scores in the perioperative period, in patients with lung cancer (50).

Participants included in our sample appeared to have a relatively preserved HRQoL at baseline which can be a limitation of this study. The baseline score in our sample was 83.2 points, that is higher than the values reported in similar preoperative lung cancer trials, for example in the study of Avery *et al.* [2020] (10) (71.9; 66.3–77.5), Nugent *et al.* [2020] (8) (79.9), and Lai *et al.* [2019] (51) (70.8; 58.3–83.3). Moreover, when compared to general European population normative values obtained from the EORTC Normative Data Initiative (median ~75 for adults aged 60–79 years) (52), our sample had higher scores. This may partly explain the limited magnitude of change observed due to potential ceiling effects.

A potential limitation of our analysis is that preoperative HRQL was included as a predictor of response to PHET, using the same instrument to assess postoperative HRQL. Although this creates some conceptual overlap between predictor and outcome, baseline HRQL still provides valuable insight into which dimensions of patient status may influence postoperative outcomes and can help guide personalized prehabilitation strategies. Lastly, the follow-up period was limited to the first month after surgery and long-term outcomes post-surgery were not assessed, which could provide a more comprehensive understanding of the exercise training benefits.

Conclusions

The current study suggested that most patients waiting for lung cancer surgery respond in HRQoL to a moderate intensity, home-based exercise program; however, there is still a considerable proportion of non-responders. Future studies with larger samples are needed to better understand non-responders' profile, including the potential role of comorbidities such as COPD as predictors of response, and identify unmet needs that can be potentially addressed

through multicomponent prehabilitation programs.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This is a secondary analysis of data from two previous studies that were conducted in accordance with the Declaration of Helsinki

and its subsequent amendments, and were approved by the Ethics Committee of the Leiria Hospital Center (n.10-14/12/2021), District Hospital of Santarém (no ref; 22/04/2022), District Hospital of Figueira da Foz (Obs_CIC.12 / 2022) and Portuguese Oncology Institute of Coimbra (TI 36/2021, 18/11/2021). Informed consent was obtained from all individual participants included in the study.

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